

Merchant & Gould

An Intellectual Property Law Firm

RECEIVED
CENTRAL FAX CENTER

FEB 09 2004

OFFICIAL

3200 IDS Center
80 South Eighth Street
Minneapolis, Minnesota
55402-2215 USA
TEL 612.332.5300
FAX 612.332.9081
www.merchant-gould.com

A Professional Corporation

Fax Transmission | February 9, 2004TO: Commissioner for Patents
Patent Examining Corps
Facsimile Center
Alexandria, VA 22313.1450

FROM: Robert A. Kalinsky

OUR REF: 2316.1642USC1
TELEPHONE: 612.336.4771USPTO Centralized Facsimile No.: **1-703-872-9306**Total pages, including cover letter: 8.

If you do NOT receive all of the pages, please telephone us at 612.336.4771, or fax us at 612.332.9081.

Title of Documents Transmitted: 1. Information Disclosure Statement.
2. Form 1449.
3. Request for Corrected Filing Receipt.

| | | | |
|-----------------|---------------------------|-------------------|----------------------|
| Applicant: | Calvin T. Millmann | Our Ref. No.: | 2316.1642USC1 |
| Serial No.: | 10/628,882 | Confirmation No.: | 3868 |
| Filed: | July 28, 2003 | Customer No.: | 23552 |
| Group Art Unit: | 3723 | | |

Please charge any additional fees or credit overpayment to Deposit Account No. 13-2725. Please consider this a PETITION FOR EXTENSION OF TIME for a sufficient number of months to enter these papers, if appropriate.

By: Robert A. Kalinsky

Name: Robert A. Kalinsky

Reg. No.: 50,471

RAK:pll

I hereby certify that this paper is being transmitted by facsimile to the U.S. Patent and Trademark Office on the date shown below.

Patricia L. Larrimore
Signature (Patricia L. Larrimore)February 9, 2004
Date

S/N 10/628,882

PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

| | | | |
|--------------|--|-------------------|----------------|
| Applicant: | Calvin T. Millmann | Examiner: | To Be Assigned |
| Serial No.: | 10/628,882 | Group Art Unit: | 3723 |
| Filed: | July 28, 2003 | Docket No.: | 2316.1642USC1 |
| Customer No. | 23552 | Confirmation No.: | 3868 |
| Title: | Method for Polishing a Fiber Optic Connector | | |

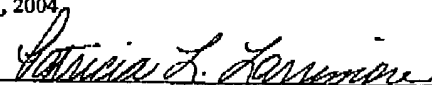
OFFICIAL
RECEIVED
CENTRAL FAX CENTER

FEB 09 2004

CERTIFICATE UNDER 37 CFR 1.6(d):

The undersigned hereby certifies that this correspondence is being transmitted via facsimile to: Commissioner for Patents,
P.O. Box 1450, Alexandria, VA 22313-1450 on February 9, 2004.

By:



Name: Patricia L. Larrimore

INFORMATION DISCLOSURE STATEMENT (37 C.F.R. § 1.97(b))

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Dear Sir:

With regard to the above-identified application, the items of information listed on the enclosed Form 1449 are brought to the attention of the Examiner.

This statement should be considered because it is submitted before the mailing date of a first Office Action on-the-merits. Accordingly, no fee is due for consideration of the items listed on the enclosed Form 1449.

In accordance with 37 C.F.R. §1.98(d), a copy of each document or other information listed on the enclosed Form 1449 is not provided because it was previously cited by or submitted to the U.S. Patent and Trademark Office in parent application, U.S. Serial No. 10/071,856, filed on February 8, 2002, now U.S. Patent No. 6,599,030 B1, issued on July 29, 2003.

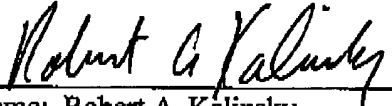
No representation is made that a reference is "prior art" within the meaning of 35 U.S.C. §§ 102 and 103 and Applicants reserve the right, pursuant to 37 C.F.R. § 1.131 or otherwise, to establish that the reference(s) are not "prior art." Moreover, Applicants do not represent that a reference has been thoroughly reviewed or that any relevance of any portion of a reference is intended.

Consideration of the items listed is respectfully requested. Pursuant to the provisions of M.P.E.P. 609, it is requested that the Examiner return a copy of the attached Form 1449, marked as being considered and initialed by the Examiner, to the undersigned with the next official communication.

Please charge any additional fees or credit any overpayment to Deposit Account No. 13-2725.

Respectfully submitted,
MERCHANT & GOULD P.C.
P.O. Box 2903
Minneapolis, Minnesota 55402-0903
(612) 332-5300

Date: Feb. 9, 2004


Name: Robert A. Kalinsky
Reg. No.: 50,471
RAK:pll

Date Mailed: February 9, 2004

Sheet 1 of 1
Customer No. 23552

| | | |
|---|---------------------------------|-----------------------------------|
| FORM 1449* INFORMATION DISCLOSURE STATEMENT IN AN APPLICATION (Use several sheets if necessary) | Docket Number: 2316.1642USC1 | Application Number: 10/628,882 |
| | Applicant: Calvin T. Millmann | Confirmation No. 3868 |
| | Filing Date: July 28, 2003 | Group Art Unit: 3723 |

| U.S. PATENT DOCUMENTS | | | | | | |
|-----------------------|--------------|------------|-----------------|-------|----------|----------------------------|
| EXAMINER INITIAL | DOCUMENT NO. | DATE | NAME | CLASS | SUBCLASS | FILING DATE IF APPROPRIATE |
| | 4,666,241 A | 05/1987 | Caron | | | |
| | 4,743,084 A | 05/1988 | Manning | | | |
| | 5,461,689 A | 10/1995 | Matsuoka et al. | | | |
| | 5,465,314 A | 11/07/1995 | Jie et al. | | | |
| | 5,559,916 A | 09/1996 | Terao et al. | | | |
| | 5,631,986 A | 05/1997 | Frey et al. | | | |
| | 5,966,485 A | 10/1999 | Luther et al. | | | |
| | 6,120,359 A | 09/2000 | Ohno et al. | | | |
| | 6,142,676 A | 11/2000 | Lu | | | |
| | 6,157,863 A | 12/2000 | Chandler et al. | | | |
| | 6,276,842 B1 | 08/2001 | Xu et al. | | | |

| FOREIGN PATENT DOCUMENTS | | | | | | |
|--------------------------|--------------|------|---------|-------|----------|-------------|
| | DOCUMENT NO. | DATE | COUNTRY | CLASS | SUBCLASS | TRANSLATION |
| | | | | | | YES NO |
| | | | | | | |
| | | | | | | |
| | | | | | | |

| OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.) | |
|--|---|
| | "ADC Fiber Optic Technical Seminar," <i>ADC Telecommunication, Inc.</i> , First Edition, Issue 2, pp. 6.1-6.50 (March 1997) |
| | "ADC FasTerm™ Multimode LX.5 Connector Installation Instructions," <i>ADC Telecommunications, Inc.</i> , Issue 1, pp. 1-25 (June 1999) |
| | "ADC FasTerm™ Multimode LX.5 Connector Installation Instructions," <i>ADC Telecommunication, Inc.</i> , Issue 1, pp. 1-27 (March 2001) |
| | "ADC FasTerm™ Multimode LX.5 Connector Installation Instructions," <i>ADC Telecommunications, Inc.</i> , Issue 2, pp. 1-25 (April 2001) |
| | "Assembly Instructions for LC™ Fiber Optic Behind-The-Wall (BTW) Connectors," <i>Lucent Technologies, Bell Labs Innovations</i> , Issue 3, pp. 1-35 (July 1999) |
| | "Figs. 1 and 2 and the Background of the present application relating to admitted prior art," 4 pages (Filed February 8, 2002) |

| | |
|---|-----------------|
| EXAMINER | DATE CONSIDERED |
| EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; draw line through citation if not in conformance and not considered. Include copy of this form for next communication to the Applicant. | |

*Substitute Disclosure Statement Form (PTO-1449)

Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE